

# $H^\infty$ and the Grothendieck approximation property

Oleg Reinov

Saint Petersburg State University

# Grothendieck's Approximation

## Definition

$X \in AP$  iff

$\forall Y, \forall \text{ compact } K \subset X, \forall \varepsilon > 0, \forall T : X \rightarrow Y,$

$$\exists R \in X^* \otimes Y : \sup_{x \in K} \|Rx - Tx\| \leq \varepsilon.$$

Or, the same:

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$X \in AP$  iff for every  $(x_n) \in c_0(X)$  and for every  $\varepsilon > 0$  there exists a finite rank operator  $R$  in  $X$  such that  $\sup_n \|Rx_n - x_n\| \leq \varepsilon$ .



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## Definition

$X \in BAP$  iff  $\exists C \geq 1$ :

$\forall$  compact  $K \subset X$ ,  $\forall \varepsilon > 0$ ,

$$\exists R \in X^* \otimes X : \sup_{x \in K} \|Rx - x\| \leq \varepsilon, \|R\| \leq C.$$

We say also  $C$ -MAP. If  $C = 1$ ,  $X \in MAP$ .

Easy reformulation:

$X$  has the property  $C$ -MAP, if given  $\varepsilon > 0$ , a Banach space  $Y$ , an operator  $T \in L(X, Y)$  and any finite sequence  $(x_k) \subset X$ , there exists a finite rank operator  $R$  from  $X$  to  $Y$  such that

- 1)  $\|Tx_k - Rx_k\| < \varepsilon$  for all  $k$ ,
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# Classical Spaces

Separable classical Banach spaces and  $L^\infty$  have the MAP. E.g.:  
 $C(K)$ ,  $L_p(\mu)$ ,  $1 \leq p < \infty \dots$  [Groth.]

Each of spaces  $H^p$ ,  $L^p/H_0^p$  ( $1 \leq p < \infty$ ),  $A$ ,  $C/A_0$  have the MAP.  
Moreover, all these spaces have bases.

-  R. P. Boas, Jr., Isomorphism between  $H^p$  and  $L^p$ , Amer. J. Math. 77 (1955), 655-656. + a result of Marcinkiewicz and Paley on  $L^p$ ,  $1 < p < \infty$ .
-  P. Billard, Bases dans H et bases de sous espaces de dimension finie dans A, Proc. Conf., Oberwolfach (August 14-22, 1971), ISNM Vol. 20, Birkhauser, Basel and Stuttgart, 1972.
-  S. V. Bockarev, Existence of a basis in the space of functions in the disk, and some properties of the Franklin system, Mat. Sb. (N.S.) 95 (137) (1974), 3-18 == Math. USSR Sbornik 24 (1974), 1-16.

See, generally,



Pełczyński A. *Banach spaces of analytic functions and absolutely summing operators* – AMS Regional Conference Series in Mathematics 30, Providence, 1977.

- $B(H) \notin AP$ .
- $L^\infty/H^\infty \in AP$ .
- $H^\infty$  — ???



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# Absolutely summing operators

## Definition

An operator  $T$  from a Banach space  $X$  into a Banach space  $Y$  is said to be  $p$ -absolutely summing, notation  $T \in \Pi_p(X, Y)$ , where  $0 < p \leq \infty$ , if there is a constant  $C \in (0, \infty)$  such that for all finite families  $(x_i)_{i=1}^n \subset X$

$$\sum_{i=1}^n \|Tx_i\|^p \leq C^p \sup \left\{ \sum_{i=1}^n |\langle x', x_i \rangle|^p : x' \in X, \|x'\| \leq 1 \right\}.$$

The  $p$ -summing norm  $\pi_p(T)$  is defined as  $\inf C$ .

## Definition

For  $p > 1$ ,  $X$  has the property  $AP_p$  (respectively, the property  $K - MAP_p$ ), if given  $\varepsilon > 0$ , a Banach space  $Y$ , an operator  $T \in \Pi_{p'}(X, Y)$  and a weakly  $p'$ -summable sequence  $(x_k) \subset X$ , there exists a finite rank operator  $R$  from  $X$  to  $Y$  such that

$$\sum \|Tx_k - Rx_k\|^{p'} < \varepsilon$$

(respectively, and  $\pi_{p'}(R) \leq K\pi_{p'}(T)$ ).

Easy to see:

$X$  has the property  $K - MAP_p$ , if given  $\varepsilon > 0$ , a Banach space  $Y$ , an operator  $T \in \Pi_{p'}(X, Y)$  and any finite sequence  $(x_k) \subset X$ , there exists a finite rank operator  $R$  from  $X$  to  $Y$  such that

- 1)  $\|Tx_k - Rx_k\| < \varepsilon$  for all  $k$ ,
- 2)  $\pi_{p'}(R) \leq K\pi_{p'}(T)$ .

# Grothendieck's Approximation

Recall:

## Definition

$X \in AP$  iff for every  $(x_n) \in c_0(X)$  and for every  $\varepsilon > 0$  there exists a finite rank operator  $R$  in  $X$  such that  $\sup_n \|Rx_n - x_n\| \leq \varepsilon$ .

A generalization:

## Definition

Let  $0 < q \leq \infty$  and  $1/s = 1/q + 1$ . We say that  $X$  has the approximation property of order  $s$ ,  $X \in AP_s$ , if for every  $(x_n) \in l_q(X)$  (where  $l_q(X)$  means  $c_0(X)$  for  $q = \infty$ ) and for every  $\varepsilon > 0$  there exists a finite rank operator  $R$  in  $X$  such that  $\sup_n \|Rx_n - x_n\| \leq \varepsilon$ .

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$X \in AP$  iff for every  $(x_n) \in c_0(X)$  and for every  $\varepsilon > 0$  there exists a finite rank operator  $R$  in  $X$  such that  $\sup_n \|Rx_n - x_n\| \leq \varepsilon$ .


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# $H^\infty$ – the simplest

- The space  $H^\infty$  has the property  $AP_p$  for any  $p > 0, p \neq 1$ . Moreover, if  $p > 1$ , then  $H^\infty$  and all its even duals have the property  $1 - MAP_p$ ; if  $p < 1$ , then all the duals of  $H^\infty$  have the property  $AP_p$ .
-  Bourgain J., Reinov O.I. *On the approximation properties for the space  $H^\infty$*  // Math. Nachr. - 122 (1985). - P. 19-27.

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# A generalization



Manuel D. Contreras and Santiago Diaz-Madrigal, Uniform Approximation Properties for Spaces of Analytic Functions, Math. Nachr. 210 (2000), 85 -91


$X$  has the uniform  $K - MAP_p$ , if given  $\varepsilon > 0$ , there is a function  $N \in \mathbf{N} \rightarrow m(N) \in \mathbf{N}$  such that for every Banach space  $Y$ , any operator  $T \in \Pi_{p'}(X, Y)$  and any finite sequence  $(x_k)_1^N \subset X$ , there exists a finite rank operator  $R$  from  $X$  to  $Y$  such that

- 1)  $\|Tx_k - Rx_k\| < \varepsilon$  for all  $k \leq N$ ,
- 2)  $\pi_{p'}(R) \leq K\pi_{p'}(T)$ ,
- 3)  $\dim R(X) \leq m(N)$ .

- *Theorem.* Let  $\delta$  be a positive number. Then the space  $H^\infty$  has the uniform  $(1 + \delta)$ -bounded approximation property of order  $p$  for every  $1 < p < \infty$ .



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J.M. Delgado, E. Oja, C. Pineiro, E. Serrano The  $p$ -approximation property in terms of density of finite rank operators, J. Math. Anal. Appl. 354 (2009) 159-164

# General situation

Main theorem on AP for  $H^\infty$  : the space has the AP "up to logarithm".

- **Theorem.** Let  $(x_n)_n$  be a sequence in  $H^\infty$  such that

$$\|x_n\| \leq \frac{1}{\log(1+n)}.$$

Then for every  $\varepsilon > 0$  there is a finite rank operator  $R$  in  $H^\infty$  with

$$\sup_n \|Rx_n - x_n\| \leq \varepsilon.$$

Moreover, we can control both the rank and the norm of  $R$  :

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$$\sup_n \|Rx_n - x_n\| \leq \varepsilon.$$

Moreover, we can control both the rank and the norm of  $R$  :

- **Theorem.** There is a function  $B(\varepsilon), \varepsilon > 0$ , such that if  $(x_n)_n$  is a sequence in  $H^\infty$ , satisfying

$$\|x_n\| \leq \frac{1}{\log(1+n)},$$

then there exists an operator  $R : H^\infty \rightarrow H^\infty$  such that

- 1)  $\sup_n \|Rx_n - x_n\| \leq \varepsilon$ ,
- 2)  $\text{rank} T < B(\varepsilon)$ ,
- 3)  $\|T\| < B(\varepsilon)$ .



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# Nuclear operators

An operator  $T : X \rightarrow Y$  is nuclear if it is of the form

$$Tx = \sum_{k=1}^{\infty} \langle x'_k, x \rangle y_k$$

for all  $x \in X$ , where  $(x'_k) \subset X^*$ ,  $(y_k) \subset Y$ ,  $\sum_k \|x'_k\| \|y_k\| < \infty$ .  
We use the notation  $N(X, Y)$

If  $T$  is nuclear, then

$$T : X \rightarrow c_0 \rightarrow l_1 \rightarrow Y.$$



A. Grothendieck, Produits tensoriels topologiques et espaces nucléaires, Mem. Amer. Math. Soc., Volume 16, 1955, 196 + 140.

# Nuclear operators: a question

**Question:** Let  $T$  map  $X^{**}$  into  $X$  and  $\pi_X$  be the natural isometric injection from  $X$  to  $X^{**}$ . Suppose that

$$\pi_X T : X^{**} \rightarrow X \rightarrow X^{**}$$

is nuclear. Is it true that

$$T : X^{**} \rightarrow X$$

is nuclear too?

In general, the answer is NO.



- **Theorem.** Let a linear operator  $T : H^\infty \rightarrow A$  be such that there are two sequences of functions  $\{g_n\} \subset L^1$  and  $\{f_n\} \subset H^\infty$ , for which  $\sum_k \int |g_k| dm < \infty$ ,  $\|f_n\| < 1/\log(n+1)$  for each  $n$  and

$$T(f) = \sum_{k=1}^{\infty} \int g_k(t) f(t) dm(t) f_k.$$

Then the operator  $T$  is nuclear as an operator, acting from  $H^\infty$  into the disk-algebra  $A$ .

For the case of  $H^\infty(M)$  on complex manifolds  $M$ , see



Alexander Brudnyi, On the approximation property for Banach spaces predual to  $H^\infty$ -spaces, *Journal of Functional Analysis* 263 (2012) 2863-2875

Thank you for your attention!